### Local Learning Algorithms for Transductive Classification, Clustering and Data Projection

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July 5th, 2007

Image: A matrix and a matrix



### Introduction

- Transductive Classification via Local Learning Regularization
- A Local Learning Approach for Clustering
- Local Learning Projections
- Summary

Image: A matrix and a matrix

Transductive Classification via Local Learning Regularization A Local Learning Approach for Clustering Local Learning Projections Summary

Supervised Local Learning Algorithms

### Supervised Local Learning Algorithms

Supervised learning problem, training data  $(\mathbf{x}_1, y_1), \ldots, (\mathbf{x}_n, y_n)$ 

- Global Learning Algorithms
  - A model  $\mathcal{M}$  is built with all the training data  $\{(\mathbf{x}_i, y_i)\}_{i=1}^n$ .
  - $\bullet \ \mathcal{M}$  is used to to predict the labels of any unseen test data.
- Local Learning Algorithms
  - For a given test point **x**, build a model  $\mathcal{M}_x$  only using  $\{(\mathbf{x}_i, y_i)\}_{\mathbf{x}_i \in \mathcal{N}_x}$ .
  - Different models may be used for different test points.

Transductive Classification via Local Learning Regularization A Local Learning Approach for Clustering Local Learning Projections Summary

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Transductive Classification via Local Learning Regularization A Local Learning Approach for Clustering Local Learning Projections Summary

Supervised Local Learning Algorithms

### Local Learning Is Good

Local learning algorithms often outperform global ones since local models are trained only with the points that are related to the particular test data.

The good performance of local learning methods indicates: The label of a point can be well estimated based on its neighbors. Introduction Transduction Classification Problem
Transductive Classification via Local Learning Regularization
A Local Learning Approach for Clustering
Local Learning Projections
Summary
Remarks and Questions

# Transductive Classification via Local Learning Regularization

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### Supervised and Transductive Classification

#### Binary Supervised Classification

- Given:  $\{(\mathbf{x}_i, y_i)\}_{i=1}^l, \mathbf{x}_i \in \mathcal{X} \subseteq \mathcal{R}^d, y_i \in \{-1, 1\}.$
- Goal: Classification function *f*(**x**).
- Learning only from labeled data.
- Binary Transductive Classification (TC)
  - Given:
    - Labeled data:  $\{(\mathbf{x}_i, y_i)\}_{i=1}^l, \mathbf{x}_i \in \mathcal{X} \subseteq \mathcal{R}^d, y_i \in \{-1, 1\}.$
    - Unlabeled data:  $\{(\mathbf{x}_i)\}_{i=l+1}^{l+u}$ , typically u >> l.
  - Goal: Predict the class labels of the given unlabeled points.
  - Learning from both labeled and unlabeled data.

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

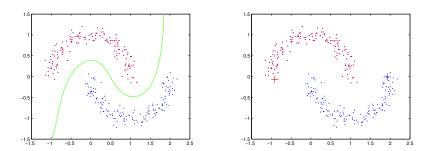
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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarke and Questions

### A Toy Example

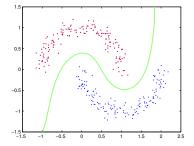


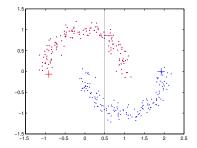
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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### Can We Ignore the Unlabeled Data?





Introduction Transduction Classification Problem
Transductive Classification via Local Learning Regularization
A Local Learning Approach for Clustering
Local Learning Projections
Local Learning Projections
Summary
Remarks and Questions



- Labeled data are expensive or difficult to obtain.
- Unlabeled data are much easier to get.
- Example: Web page classification.

Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### Prior Assumption Is Important

 In many TC algorithms [Zhu et al., 2003, Belkin et al., 2005], each x<sub>i</sub> is assigned a real value f<sub>i</sub>

$$y_i = \operatorname{sign}(f_i)$$
  $l+1 \leq i \leq l+u$ 

- Main part: computing  $f_i$  of each  $\mathbf{x}_i$ .
- Key: the prior assumption about the properties that *f<sub>i</sub>* should have over the data points.
- Cluster assumption: If two data points x<sub>i</sub> and x<sub>j</sub> are on the same cluster, then the values of f<sub>i</sub> and f<sub>j</sub> should be similar to each other.

Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### A Quadratic Objective Function for TC

A typical formulation for TC [Zhu et al., 2003, Zhou et al., 2004]

$$\min_{\mathbf{f}\in\mathbb{R}^n}\mathbf{f}^\top\mathbf{R}\mathbf{f}+(\mathbf{f}-\mathbf{y})^\top\mathbf{C}(\mathbf{f}-\mathbf{y})$$

where

- $\mathbf{f} = [f_1, \ldots, f_n]^\top \in \mathbb{R}^n$ .
- $\mathbf{R} \in \mathbb{R}^{n \times n}$ : regularization matrix.
- $\mathbf{y} = [y_1, \ldots, y_l, 0, \ldots, 0]^\top \in \mathbb{R}^n$ .
- **C**: a diagonal matrix.  $c_i = C_l > 0$  for  $1 \le i \le l$ , and  $c_i = C_u \ge 0$  for  $l + 1 \le i \le n$ .

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### Laplacian Regularizer

• Graph Laplacian [Zhu et al., 2003], **R** = **L**.

$$\mathbf{f}^{\top} \mathbf{L} \mathbf{f} = \frac{1}{2} \sum_{i=1}^{n} \sum_{j=1}^{n} w_{ij} (f_i - f_j)^2$$

 $w_{ij}$ , similarity between  $\mathbf{x}_i$  and  $\mathbf{x}_j$ 

$$w_{ij} = \exp(-\gamma \|\mathbf{x}_i - \mathbf{x}_j\|^2)$$

 Smoothness constraint: The labels should be similar among nearby points.

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### TC via Local Learning

#### Local Learning Problem (LL-Problem)

For a data point  $\mathbf{x}_i$ , given the values of  $f_j$  at  $\mathbf{x}_j \in \mathcal{N}_i$ , what should be the proper value of  $f_i$  at  $\mathbf{x}_i$ ?

•  $\{(\mathbf{x}_j, f_j)\}_{\mathbf{x}_j \in \mathcal{N}_i} \to f_i$ , a learning problem.

• Local Learning Regularizer:

$$\sum_{i=1}^n (f_i - o_i(\mathbf{x}_i))^2$$

 $o_i(\cdot)$ , trained with  $\{(\mathbf{x}_j, f_j)\}_{\mathbf{x}_j \in \mathcal{N}_i}$ 

 Idea: f<sub>i</sub> should be well estimated locally based on the neighboring points of x<sub>i</sub>, using supervised learning algorithms.

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

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 Introduction
 Transduction Classification Problem

 Transductive Classification via Local Learning Regularization
 To via Local Learning

 A Local Learning Approach for Clustering
 LOO

 Local Learning Projections
 Experimental Results

 Summary
 Remarks and Questions

Computing  $o_i(\mathbf{x}_i)$ 

(1/2)

Following [Bottou & Vapnik, 1992],

Linear model

$$o_i(\mathbf{x}) = \mathbf{w}_i^{ op}(\mathbf{x} - \mathbf{x}_i) + b_i, \quad \forall \mathbf{x} \in \mathbb{R}^d$$

• Training data,  $\{(\mathbf{x}_j, f_j)\}_{\mathbf{x}_j \in \mathcal{N}_i}$ . Training problem:

$$\min_{\mathbf{w}_i \in \mathbb{R}^d, b_i \in \mathbb{R}} \lambda \|\mathbf{w}_i\|^2 + \sum_{\mathbf{x}_j \in \mathcal{N}_i} (o_i(\mathbf{x}_j) - f_j)^2$$

Solution

$$o_i(\mathbf{x}_i) = \boldsymbol{lpha}_i^{ op} \mathbf{f}_i$$

 $\mathbf{f}_i \in \mathbb{R}^{n_i}$ , the vector  $[f_j]^{\top}$  for  $\mathbf{x}_j \in \mathcal{N}_i$ .  $o_i(\mathbf{x}_i)$  can be computed analytically, even if we do not know the values of  $\{f_j\}_{\mathbf{x}_j \in \mathcal{N}_i}$ .

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Introduction Transductive Classification via Local Learning Regularization A Local Learning Approach for Clustering Local Learning Projections Summary To via Local Learning Projections Problem To via Local Learning Projections Problem Projections Problem Projections Problem Projections Problem Projections Problem Projections Projections

Computing  $o_i(\mathbf{x}_i)$ 

(2/2)

• Matrix form of  $o_i(\mathbf{x}_i)$ 

 $\mathbf{o} = \mathbf{A}\mathbf{f}$ 

$$\mathbf{O} = [O_1(\mathbf{X}_1), \dots, O_n(\mathbf{X}_n)]^\top, \mathbf{f} = [f_1, \dots, f_n]^\top$$

• An example:  $o_1(\mathbf{x}_1) = a \times f_2 + b \times f_3$ ,  $o_2(\mathbf{x}_2) = c \times f_1 + d \times f_4$ , .... then  $\begin{pmatrix} o_1(\mathbf{x}_1) \end{pmatrix} \begin{pmatrix} 0 & a & b & 0 & ... \end{pmatrix} \begin{pmatrix} f_1 \end{pmatrix}$ 

$$\begin{pmatrix} 0 & 0 \\ 0 & 2 \\ \vdots \end{pmatrix} = \begin{pmatrix} c & 0 & 0 & d & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} f_2 \\ f_2 \\ \vdots \end{pmatrix}$$

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### Local Learning Regularizer

#### • Local Learning Regularizer:

$$\sum_{i=1}^{n} (f_i - o_i(\mathbf{x}_i))^2 = \|\mathbf{f} - \mathbf{o}\|^2 = \|\mathbf{f} - \mathbf{A}\mathbf{f}\|^2 = \mathbf{f}^\top \mathbf{R}_L \mathbf{f}$$

$$\mathbf{R}_L = (\mathbf{I} - \mathbf{A})^{\top} (\mathbf{I} - \mathbf{A})$$

Quadratic objective:

$$\min_{\mathbf{f}\in\mathbb{R}^n}\mathbf{f}^\top\mathbf{R}_{\boldsymbol{L}}\mathbf{f} + (\mathbf{f}-\mathbf{y})^\top\mathbf{C}(\mathbf{f}-\mathbf{y})$$

Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### Comparison with Laplacian Regularizer

• Laplacian regularizer:

$$\mathbf{f}^{\top} \mathbf{L} \mathbf{f} = \frac{1}{2} \sum_{i=1}^{n} \sum_{j=1}^{n} w_{ij} (f_i - f_j)^2$$

- Current explanations: smoothness constraints, manifold regularization, random walk.
- Implicit answer to LL-problem: Setting  $\frac{\partial}{\partial f} \mathbf{f}^{\mathsf{T}} \mathbf{L} \mathbf{f}$  to 0, we have,

$$f_i = rac{\sum_{\mathbf{x}_j \in \mathcal{N}_i} w_{ij} f_j}{\sum_{\mathbf{x}_j \in \mathcal{N}_i} w_{ij}}$$

Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### Leave-One-Out (LOO) Error

Quadratic objective

$$\min_{\mathbf{f}\in\mathbb{R}^n}\mathbf{f}^{\top}\mathbf{R}\mathbf{f} + (\mathbf{f}-\mathbf{y})^{\top}\mathbf{C}(\mathbf{f}-\mathbf{y})$$

Solution:  $\mathbf{f} = (\mathbf{R} + \mathbf{C})^{-1}\mathbf{C}\mathbf{y}$ , let  $\mathbf{M} = (\mathbf{R} + \mathbf{C})^{-1}$ .

- LOO procedure for TC: In the *i*-th iteration  $(1 \le i \le l)$ ,  $(\mathbf{x}_i, \mathbf{y}_i) \rightarrow \mathbf{x}_i$ , solution  $\mathbf{f}^{(i)}$ .
- To compute LOO error: We only need to know  $f_i^{(i)}$ .

#### Computing LOO Error Efficiently

$$f_{i}^{(i)} = rac{f_{i} - C_{l}y_{i}m_{ii}}{1 - (C_{l} - C_{u})m_{ii}}$$
  $1 \le i \le l$ 

Transductive Classification via Local Learning Regularization A Local Learning Approach for Clustering Local Learning Projections Summary Transduction Classification Problen TC via Local Learning LOO Experimental Results Remarks and Questions

### **Experimental Results**

Dataset	Lap-Reg	NLap-Reg	LLE-Reg	LL-Reg
Dataset	1 0	1 0	<u> </u>	
g241c	39.00±2.23	$45.00 \pm 3.92$	$41.46 \pm 4.51$	21.36±3.67
g241d	36.12±1.50	43.31±3.30	40.15±4.05	22.51±1.79
Digit1	3.02±0.84	2.91±0.59	2.54±0.72	2.63±0.66
USPS	7.09±3.37	4.60±2.04	4.70±1.86	3.67±1.24
COIL	11.11±2.72	10.71±3.29	13.61±4.01	12.04±2.30
BCI	47.60±2.29	47.22±2.31	44.23±3.74	31.15±5.02
Text	29.29±2.36	23.55±3.55	50.11±0.37	24.23±3.28
Banana	14.26±1.69	14.15±1.96	17.09±2.48	12.75±1.70
Diabetis	32.80±2.54	31.93±2.71	33.31±2.51	27.63±2.40
German	31.76±2.51	30.82±1.40	33.69±2.95	29.95±2.49
Image	14.39±1.63	14.28±1.88	18.67±2.44	12.08±2.07
Ringnorm	19.06±2.17	9.66±0.86	11.85±1.48	10.28±0.38
Splice	37.48±3.75	$36.01 \pm 8.50$	39.36±2.31	27.27±5.05
Twonorm	4.17±1.30	4.05±1.29	7.54±1.33	3.35±1.02
Waveform	17.09±3.27	16.88±3.28	19.02±1.80	13.50±1.94

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

### **Remarks and Questions**

#### Remarks

- Local learning regularization for TC.
- A flexible framework, adapting various learning algorithms for TC.
- Examining some current regularizers under this framework.
- An efficient way to compute the LOO error.

#### Questions

- No labeled points at all, unsupervised learning?
- Nonlinear local models?

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Transduction Classification Problem TC via Local Learning LOO Experimental Results Remarks and Questions

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ntroduction .ocal Learning for Clustering Experimental Results Remarks and Questions

### A Local Learning Approach for Clustering

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

### The clustering problem

#### **Clustering Problem**

- *n* data points,  $\mathbf{x}_1, \ldots, \mathbf{x}_n, \mathbf{x}_i \in \mathcal{X} \subseteq \mathbb{R}^d$ .
- *c* > 0.
- goal: partition the given data into *c* clusters.
- current methods: k-means, single-link, spectral clustering.

Introduction Local Learning for Clustering Experimental Results Remarks and Questions

### **Representation of Clustering Results**

- Partition Matrix:  $\mathbf{P} \in \{0, 1\}^{n \times c}$
- Scaled Partition Matrix:  $\mathbf{F} = \mathbf{P}(\mathbf{P}^{\top}\mathbf{P})^{-\frac{1}{2}}$

$$\mathbf{P} = \begin{pmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \\ 0 & 1 \\ 0 & 1 \end{pmatrix} \quad \mathbf{F} = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{2}} & 0 \\ 0 & \frac{1}{\sqrt{3}} \\ 0 & \frac{1}{\sqrt{3}} \\ 0 & \frac{1}{\sqrt{3}} \end{pmatrix}$$

• Two useful properties:

$$\mathbf{F}^{\top}\mathbf{F} = (\mathbf{P}^{\top}\mathbf{P})^{-\frac{1}{2}}\mathbf{P}^{\top}\mathbf{P}(\mathbf{P}^{\top}\mathbf{P})^{-\frac{1}{2}} = \mathbf{I}$$

$$\mathbf{P} = P(\mathbf{F}) = Diag(\mathbf{F}\mathbf{F}^{\top})^{-\frac{1}{2}}\mathbf{F}$$

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

### **Basic Idea**

### LL-Problem, $\mathbf{F} \in \mathbb{R}^{n \times c}$ , $f_i^l$

For a data point  $\mathbf{x}_i$  and a cluster  $C_l$ , given the values of  $f_j^l$  at  $\mathbf{x}_j \in \mathcal{N}_i$ , what should be the proper value of  $f_i^l$  at  $\mathbf{x}_i$ ?

Objective function:

$$\sum_{l=1}^{c} \sum_{i=1}^{n} (f_i^l - o_i^l(\mathbf{x}_i))^2$$
(1)

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s.t. **F** is a scaled partition matrix

 $o_i^{\prime}(\cdot)$ , trained with  $\{(\mathbf{x}_j, f_j^{\prime})\}_{\mathbf{x}_j \in \mathcal{N}_i}$ .

Introduction Local Learning for Clustering Experimental Results Remarks and Questions

### **Basic Idea**

### LL-Problem, $\mathbf{F} \in \mathbb{R}^{n \times c}$ , $f_i^l$

For a data point  $\mathbf{x}_i$  and a cluster  $C_l$ , given the values of  $f_j^l$  at  $\mathbf{x}_j \in \mathcal{N}_i$ , what should be the proper value of  $f_i^l$  at  $\mathbf{x}_i$ ?

Objective function:

$$\min_{\mathbf{F}\in\mathbb{R}^{n\times c}} \sum_{l=1}^{c} \sum_{i=1}^{n} (f_{i}^{l} - o_{i}^{l}(\mathbf{x}_{i}))^{2}$$
(1)

s.t. **F** is a scaled partition matrix (2)

 $o'_i(\cdot)$ , trained with  $\{(\mathbf{x}_j, f'_j)\}_{\mathbf{x}_j \in \mathcal{N}_i}$ .

Introduction Local Learning for Clustering Experimental Results Remarks and Questions

# Computing $o'_i(\mathbf{x}_i)$

• Training data:  $\{(\mathbf{x}_j, f_j^l)\}_{\mathbf{x}_j \in \mathcal{N}_i}$ . Kernel learning algorithms:  $o_i^l(\mathbf{x}_i) = \sum_{\mathbf{x}_j \in \mathcal{N}_i} \beta_{ij}^l \mathcal{K}(\mathbf{x}_i, \mathbf{x}_j) = \mathbf{k}_i^\top \beta_i^l$ 

kernel ridge regression:

$$\min_{\boldsymbol{\beta}_{i}^{\prime} \in \mathbb{R}^{n_{i}}} \lambda(\boldsymbol{\beta}_{i}^{\prime})^{\top} \mathbf{K}_{i} \boldsymbol{\beta}_{i}^{\prime} + \left\| \mathbf{K}_{i} \boldsymbol{\beta}_{i}^{\prime} - \mathbf{f}_{i}^{\prime} \right\|^{2}$$

$$\mathbf{K}_i = [K(\mathbf{x}_u, \mathbf{x}_v)] \in \mathbb{R}^{n_i \times n_i}$$
, for  $\mathbf{x}_u, \mathbf{x}_v \in \mathcal{N}_i$ .

• solution of kernel ridge regression:  $\beta_i^{\prime} = (\mathbf{K}_i + \lambda \mathbf{I})^{-1} \mathbf{f}_i^{\prime}$ 

• 
$$o_i^l(\mathbf{x}_i) = \mathbf{k}_i^{\top} (\mathbf{K}_i + \lambda \mathbf{I})^{-1} \mathbf{f}_i^l = \alpha_i^{\top} \mathbf{f}_i^l,$$
  
 $\alpha_i^{\top} = \mathbf{k}_i^{\top} (\mathbf{K}_i + \lambda \mathbf{I})^{-1}$ 

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

# Computing $o'_i(\mathbf{x}_i)$

- Training data:  $\{(\mathbf{x}_j, f_j^l)\}_{\mathbf{x}_j \in \mathcal{N}_i}$ . Kernel learning algorithms:  $o_i^l(\mathbf{x}_i) = \sum_{\mathbf{x}_j \in \mathcal{N}_i} \beta_{ij}^l \mathcal{K}(\mathbf{x}_i, \mathbf{x}_j) = \mathbf{k}_i^\top \boldsymbol{\beta}_i^l$
- kernel ridge regression:

$$\min_{\boldsymbol{\beta}_{i}^{\prime} \in \mathbb{R}^{n_{i}}} \lambda(\boldsymbol{\beta}_{i}^{\prime})^{\top} \mathbf{\mathsf{K}}_{i} \boldsymbol{\beta}_{i}^{\prime} + \left\|\mathbf{\mathsf{K}}_{i} \boldsymbol{\beta}_{i}^{\prime} - \mathbf{f}_{i}^{\prime}\right\|^{2}$$

$$\mathbf{K}_i = [\mathcal{K}(\mathbf{x}_u, \mathbf{x}_v)] \in \mathbb{R}^{n_i \times n_i}, \text{ for } \mathbf{x}_u, \mathbf{x}_v \in \mathcal{N}_i.$$

• solution of kernel ridge regression:  $\beta'_i = (\mathbf{K}_i + \lambda \mathbf{I})^{-1} \mathbf{f}'_i$ 

• 
$$o_i^{\prime}(\mathbf{x}_i) = \mathbf{k}_i^{\top} (\mathbf{K}_i + \lambda \mathbf{I})^{-1} \mathbf{f}_i^{\prime} = \alpha_i^{\top} \mathbf{f}_i^{\prime},$$
  
 $\alpha_i^{\top} = \mathbf{k}_i^{\top} (\mathbf{K}_i + \lambda \mathbf{I})^{-1}$ 

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

## Quadratic Objective Function

- Matrix form:  $\mathbf{o}^{l} = \mathbf{A}\mathbf{f}^{l}$  $\mathbf{o}^{l} = [o_{1}^{l}(\mathbf{x}_{1}), \dots, o_{n}^{l}(\mathbf{x}_{n})]^{\top}, \mathbf{f}^{l} = [f_{1}^{l}, \dots, f_{n}^{l}]^{\top}$
- Objective function:

$$\min_{\boldsymbol{\in}\mathbb{R}^{n\times c}} \qquad \sum_{l=1}^{c} \sum_{i=1}^{n} (f_{i}^{l} - o_{i}^{l}(\mathbf{x}_{i}))^{2} = \sum_{l=1}^{c} \left\| \mathbf{f}^{l} - \mathbf{o}^{l} \right\|^{2} \qquad (3)$$
  
s.t. **F** is a scaled partition matrix (4)

• Quadratic objective function:

$$\min_{\mathbf{F} \in \mathbb{R}^{n \times c}} \sum_{l=1}^{c} \left\| \mathbf{f}^{l} - \mathbf{A} \mathbf{f}^{l} \right\|^{2} = \sum_{l=1}^{c} (\mathbf{f}^{l})^{\top} \mathbf{T} \mathbf{f}^{l} = trace(\mathbf{F}^{\top} \mathbf{T} \mathbf{F})$$
s.t. **F** is a scaled partition matrix

 $\mathbf{T} = (\mathbf{I} - \mathbf{A})^{\top} (\mathbf{I} - \mathbf{A})$ 

Introduction Local Learning for Clustering Experimental Results Remarks and Questions

## Quadratic Objective Function

- Matrix form:  $\mathbf{o}^{l} = \mathbf{A}\mathbf{f}^{l}$  $\mathbf{o}^{l} = [o_{1}^{l}(\mathbf{x}_{1}), \dots, o_{n}^{l}(\mathbf{x}_{n})]^{\top}, \mathbf{f}^{l} = [f_{1}^{l}, \dots, f_{n}^{l}]^{\top}$
- Objective function:

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(3)  
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• Quadratic objective function:

$$\min_{\mathbf{F} \in \mathbb{R}^{n \times c}} \sum_{l=1}^{c} \left\| \mathbf{f}^{l} - \mathbf{A} \mathbf{f}^{l} \right\|^{2} = \sum_{l=1}^{c} (\mathbf{f}^{l})^{\top} \mathbf{T} \mathbf{f}^{l} = trace(\mathbf{F}^{\top} \mathbf{T} \mathbf{F})$$
s.t. **F** is a scaled partition matrix
$$\mathbf{T} = (\mathbf{I} - \mathbf{A})^{\top} (\mathbf{I} - \mathbf{A})$$

Introduction Local Learning for Clustering Experimental Results Remarks and Questions

### Relaxation

#### Relax F to continuous domain

 $\begin{array}{ll} \min_{\mathbf{F} \in \mathbb{R}^{n \times c}} & trace(\mathbf{F}^{\top} \mathbf{TF}) \\ \text{s.t.} & \mathbf{F}^{\top} \mathbf{F} = \mathbf{I} \end{array}$ 

Solution:

$$\{\mathbf{F}^{\star}\mathbf{R}:\mathbf{R}\in\mathbb{R}^{\boldsymbol{c}\times\boldsymbol{c}},\quad\mathbf{R}^{\top}\mathbf{R}=\mathbf{I}\}$$

where  $\mathbf{F}^{\star} \in \mathbb{R}^{n \times c}$ , consists of the *c* smallest eigenvectors of **T** 

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

Discretization: Obtaining the Final Clustering Result

- Get real valued partition matrix:  $\mathbf{P}^{\star} = P(\mathbf{F}^{\star})$
- A property:  $P(\mathbf{F}^*\mathbf{R}) = \mathbf{P}^*\mathbf{R} \quad \forall \mathbf{R}^\top\mathbf{R} = \mathbf{I}.$
- **F**\***R** close to the true SPM, **P**\***R** close to the corresponding discrete PM.
- Compute **R** and discrete PM **P** [Yu & Shi, 2003]:

$$\min_{\mathbf{P} \in \mathbb{R}^{n \times c}, \mathbf{R} \in \mathbb{R}^{c \times c}} \|\mathbf{P} - \mathbf{P}^{\star} \mathbf{R}\|^{2}$$
(5)  
subject to 
$$\mathbf{P} \in \{0, 1\}^{n \times c}, \quad \mathbf{P1}_{c} = \mathbf{1}_{n}$$
(6)  
$$\mathbf{R}^{\top} \mathbf{R} = \mathbf{I}$$
(7)

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

### **Numerical Results**

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	Spec-Clst	0.6575	0.3608	0.7483	0.8810	0.6468	0.5765
NMI,	LLCA1	0.8720	0.6241	0.8003	1	0.7587	0.7125
cosine	LLCA2	0.8720	0.6241	0.7889	1	0.7587	0.7125
	k-means	0.5202	0.2352	0.6479	0.7193	0.0800	0.0380
	Spec-Clst	0.8245	0.4319	0.8099	0.8773	0.4039	0.1861
NMI,	LLCA1	0.8493	0.5980	0.8377	1	0.2642	0.1776
Gaussian	LLCA2	0.8467	0.5493	0.8377	1	0.0296	0.0322
	k-means	0.5202	0.2352	0.6479	0.7193	0.0800	0.0380
	Spec-Clst	32.93	16.56	46.26	9.29	28.26	21.73
Error (%),	LLCA1	3.57	8.01	36.00	0	7.99	9.65
cosine	LLCA2	3.57	8.01	38.43	0	7.99	9.65
	k-means	22.16	22.30	56.35	36.43	70.62	74.08
	Spec-Clst	5.68	13.51	41.74	10.00	42.34	64.71
Error (%),	LLCA1	4.61	8.43	33.91	0	47.24	53.25
Gaussian	LLCA2	4.70	9.80	37.22	0	74.38	72.97
	k-means	22.16	22.30	56.35	36.43	70.62	74.08

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

# **Remarks and Questions**

#### Remarks

- Adapting the local learning idea for the clustering problem.
- Cost function: the cluster label of each data point can be well estimated based on its neighbors.
- Nonlinear local models.
- Easy implementation, encouraging results.

#### Questions

• The LL approach is effective to explore the relationship among neighboring points. Any other applications?

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Introduction Local Learning for Clustering Experimental Results Remarks and Questions

# **Remarks and Questions**

#### Remarks

- Adapting the local learning idea for the clustering problem.
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- Nonlinear local models.
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#### Questions

• The LL approach is effective to explore the relationship among neighboring points. Any other applications?

Image: A matrix and a matrix

Introduction Introduction Introduction Comparison via Local Learning Regularization Local Learning Projections A Local Learning Projections Comparison with Related Algorithms Local Learning Projections Experimental Results Summary Remarks

## Local Learning Projections

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Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

## **Linear Projection**

- Training data  $(\mathbf{x}_1, y_1), ..., (\mathbf{x}_n, y_n), \mathbf{x}_i \in \mathcal{X} \in \mathbb{R}^d, y_i \in \{1, 2, ..., c\}.$
- Goal: Find a low dimensional subspace of  $\mathcal{X}$ , which retains the discriminating information for classification.
- Projection matrix  $\mathbf{P} \in \mathbb{R}^{d \times p}$ , p < d,  $\mathbf{x} \to \mathbf{P}^{\top} \mathbf{x}$ .
- Reducing noise, removing redundant information irrelevant to the classification task.

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Introduction Local Learning Project

Experimental Remarks

# Some Linear Projection Methods

- Principal Component Analysis (PCA)
- Linear Discriminant Analysis (LDA)
- Locality Preserving Projection (LPP) [He & Niyogi, 2004]

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Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

# Local Learning Projections (LLP)

#### Idea

The projection of a point can be well estimated based on its neighbors in the same class.

### Objective function:

$$\min_{\substack{\boldsymbol{\rho}, \mathbf{F} \in \mathbb{R}^{p \times n}}} \sum_{l=1}^{p} \sum_{i=1}^{n} (f_{i}^{l} - o_{i}^{l}(\mathbf{x}_{i}))^{2} = \sum_{l=1}^{p} \left\| \mathbf{f}^{l} - \mathbf{o}^{l} \right\|^{2}$$
(8)  
s.t. 
$$\mathbf{F} = \mathbf{P}^{\top} \mathbf{X}$$
(9)  
$$\mathbf{P}^{\top} \mathbf{P} = \mathbf{I}$$
(10)

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 $\mathbf{X} = [\mathbf{x}_1, \dots, \mathbf{x}_n], o'_i(\cdot), \text{ trained with } \{(\mathbf{x}_j, f'_i)\}_{\mathbf{x}_j \in \mathcal{N}_i}$ 

Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

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Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

# Locality Preserving Projections (LPP)

Consider the case p = 1,  $f_i = \mathbf{p}^{\top} \mathbf{x}_i$ 

• Basic idea of LPP:  $E_{LPP}(\mathbf{f}) = \frac{1}{2} \sum_{i,j} (f_i - f_j)^2 w_{ij}$ 

• Setting 
$$\frac{\partial}{\partial \mathbf{f}} E_{LPP}(\mathbf{f})$$
 to **0**, optimal **f**:  $f_i = \frac{\sum_{\mathbf{x}_i \in \mathcal{N}_i} w_{ij} f_i}{\sum_{\mathbf{x}_j \in \mathcal{N}_i} w_{ij}}$ 

- LLP explicitly requires that f<sub>i</sub> can be well estimated based on the neighbors of x<sub>i</sub>, while LPP specifies this implicitly.
- In LLP, f<sub>i</sub> is estimated by o<sub>i</sub>(x<sub>i</sub>), which is trained with well established regression approaches, while in LPP, f<sub>i</sub> is estimated with the local average.

Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

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Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

## Comparison with PCA

(1/2)

### Global estimation error: Input data $\{\mathbf{x}_i\}_{i=1}^n$ , a vector $\mathbf{f} = [f_1, \dots, f_n]^\top \in \mathbb{R}^n$ , define

$$E_{global}(\mathbf{f}) = \sum_{i=1}^{n} (f_i - o_{all}(\mathbf{x}_i))^2$$

 $o_{all}(\cdot)$ : trained with  $\{(\mathbf{x}_i, f_i)\}_{i=1}^n$ , using kernel ridge regression.

#### Proposition

Let  $\mathbf{\overline{f}} = [\overline{f}_1, \dots, \overline{f}_n]^\top \in \mathbb{R}^n$ , where  $\overline{f}_i$  denotes the projection value of  $\mathbf{x}_i$  given by KPCA algorithm. Then among all the unit length vectors,  $\mathbf{\overline{f}} / \|\mathbf{\overline{f}}\|$  is the one with the minimal global estimation error. Namely,

 $\mathbf{\bar{f}}/\left\|\mathbf{\bar{f}}\right\| = \arg\min_{\mathbf{f}^{\top}\mathbf{f}=1} E_{global}(\mathbf{f})$ 

Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

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Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

Comparison with PCA

(2/2)

• PCA minimizes global estimation error:

$$m{\mathcal{E}_{global}}(\mathbf{f}) = \sum_{i=1}^n (f_i - o_{all}(\mathbf{x}_i))^2$$

 $o_{all}(\cdot)$ : trained with  $\{(\mathbf{x}_i, f_i)\}_{i=1}^n$ . Not using class labels.

• LLP minimizes local estimation error:

$$E_{local}(\mathbf{f}) = \sum_{i=1}^{n} (f_i - o_i(\mathbf{x}_i))^2$$

 $o_i(\cdot)$ : trained with  $\{(\mathbf{x}_j, f_j)\}_{\mathbf{x}_j \in \mathcal{N}_i}$ . Using class labels.

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Introduction

Transductive Classification via Local Learning Regularization A Local Learning Approach for Clustering Local Learning Projections

Summary

Introduction Local Learning Projections Comparison with Related Algorithms Experimental Results Remarks

### **Experimental Results**

Dataset	т	1-NN	PCA	LDA	LPP	LLP
	5	42.1±4.0	42.1±4.0	24.3±2.7	22.4±3.6	19.8±3.5
Yale	6	40.3±4.1	40.3±4.1	21.5±3.8	$20.2 \pm 4.7$	16.9±3.5
	7	38.8±4.3	38.8±4.3	19.8±4.1	19.7±4.4	14.8±3.7
	5	11.9±1.2	11.9±1.2	5.7±1.0	6.7±1.1	3.1±1.2
ORL	6	9.1±2.0	9.1±2.0	4.3±1.2	4.5±1.7	2.6±1.1
	7	6.9±2.4	6.9±2.4	3.5±1.2	3.8±1.3	2.0±1.0
	10	55.2±1.0	55.2±1.0	21.6±1.1	19.6±3.1	16.6±1.0
YaleB	20	41.7±0.8	41.7±0.8	13.8±0.9	17.6±2.9	11.2±1.5
	30	34.5±1.3	34.5±1.3	12.8±1.1	13.6±1.1	8.7±1.4
	10	65.0±0.5	65.0±0.5	29.7±1.3	28.8±1.4	18.7±0.9
PIE	20	48.8±0.7	48.8±0.7	21.1±0.7	20.7±1.1	16.6±0.6
	30	37.9±0.8	37.9±0.8	10.8±0.6	9.7±0.8	14.3±0.7
	5	15.2±3.8	15.2±3.8	10.2±2.8	14.5±3.7	6.2±2.7
UMist	6	$12.0\pm3.1$	$12.0\pm3.1$	7.2±2.3	$12.0\pm2.3$	4.5±2.3
	7	9.7±2.3	9.7±2.3	5.9±2.2	9.8±2.4	3.7±1.8

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Local Learning Projections Experimental Results	Local Learning Projections Experimental Results Summary Remarks
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- Adapting the local learning idea for data projection.
- LLP can keep local relationship among neighboring points.
- A new explanation of PCA, based on which we can see the advantages of LLP over PCA.

# Summary

### Summary

- Adapting the local learning idea for TC, clustering and data projection.
- Investigating existing methods from the local learning point of view.
- Asking the relevant question explicitly.

#### **Future Works**

- Other applications, image segmentation, image matting, ranking, etc.
- Multi-view clustering and transductive learning.
- Local learning on graphs.

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### Thank you very much for your attention!

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Introduction Transductive Classification via Local Learning Regularization Summary

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